



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/898,521	07/03/2001	Charles D. Schaper	

CONFIRMATION NO. 7538

## FORMALITIES LETTER



\*OC00000006457697\*

Franklin Schellenberg  
4023 Villa Vista  
Palo Alto, CA 94306

Date Mailed: 08/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/28/2001 TBESHAH1 00000030 09898521

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:103	126.00 OP
03 FC:102	160.00 OP
04 FC:105	130.00 OP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$286.
  - \$126 for 7 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1126.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



09-27-01

Sector

## BOX MISSING PARTS

Agent Docket No.: Stanford MxL 01

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of )  
Charles D. SCHAPER )  
Application No: 09 / 898,521 )  
Filed : July 3, 2001 )  
Title: **Molecular Transfer lithography** )  
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### TRANSMITTAL LETTER

Palo Alto, California 94306  
September 25, 2001

#### TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) by Express Mail is the Response to Missing Parts for the patent application of Charles D. Schaper, assigned an application number 09 / 898,521 and entitled MOLECULAR TRANSFER LITHOGRAPHY.

- X 1. A newly executed Combined Declaration and Power of Attorney is attached.
- X 2. An Assignment of the invention to The Board of Trustees of the Leland Stanford Junior University is enclosed, together with a Recordation Cover Sheet in accordance with 37 C.F.R. § 3.31 and our check including the amount of \$ 40.00 to cover the recordation fee. Please record this assignment in accordance with 37 C.F.R. § 3.11.
- X 3. A copy of Form PTO-1533 (Notice to file Missing parts) is enclosed.
- X 4. A Preliminary Amendment is enclosed.



### COMPUTATION OF FEE

	Number Filed		Number Extra		Rate		
Basic Fee					\$710		\$ 710
Filing Fee Surcharge (37 CFR 1.16(e))					\$ 130		\$ 130
Total Claims	27 - 20	=	7	x	\$ 18	=	\$ 126
Independent Claims	5 - 3	=	2	x	\$ 80	=	\$ 160
Multiple Dependent Claim				+			
Assignment Recordation				+	\$ 40		\$ 40
TOTAL							\$ 1166

- X 5. Our check No. 6091 in the amount of \$ 1,166.00 to cover the total fee as computed above is enclosed.

Please address all further correspondence to

Franklin Schellenberg  
4023 Villa Vista  
Palo Alto, CA 94306

Respectfully submitted,

Franklin Schellenberg  
Registration No. 47,315  
Day: 408-487-7082  
Eve: 650-856-3975

### EXPRESS MAIL CERTIFICATE

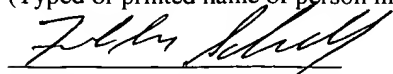
"Express Mail" mailing label number ET 843 781 297 US

Date of Deposit September 25, 2001

I hereby certify that this paper or fee is being deposited within the United States Postal Service

"Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington DC 20231

Franklin Schellenberg  
(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)